



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul F. Crowder et al. Examiner: Not yet assigned
Serial No.: 09/895,303 Group Art Unit: 2828
Filed: June 29, 2001 Docket: G&C 122.6-US-U1
Title: GAIN VOLTAGE CONTROL OF SAMPLED GRATING DISTRIBUTED BRAGG REFLECTOR LASERS

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on September 25, 2002.

By: George H. Gates
Name: George H. Gates

OFFICE OF INITIAL PATENT EXAMINATION - CUSTOMER SERVICE CENTER
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Request for Corrected Filing Receipt and Copy of Filing Receipt.
- ☒ Return postcard.

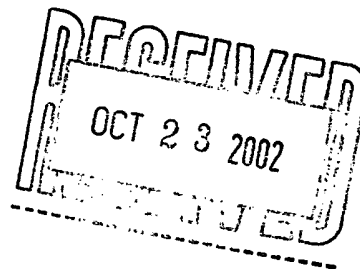
Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

CUSTOMER NUMBER 22462

GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: George H. Gates
Name: George H. Gates
Reg. No.: 33,500
GHG/sjm



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(PTO TRANSMITTAL - GENERAL)



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Name: George H. Gates

THIRD REQUEST FOR CORRECTED FILING RECEIPT

Attn: Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed is a photocopy of the filing receipt from the U.S. Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers originally filed on June 29, 2001.

The requested correction is shown in **Bold** as follows:

Also please **DELETE** the lines in *ITALICS* and UNDERLINED:

Under the heading Domestic Priority data as claimed by applicant:

THIS APPLN CLAIMS BENEFIT OF 60/215,739 06/29/2000
AND CLAIMS BENEFIT OF 60/215,170 06/29/2000
AND CLAIMS BENEFIT OF 60/215,742 06/29/2000
AND A CIP OF 09/848,791 05/04/2001
WHICH CLAIMS BENEFIT OF 60/203,052 05/04/2000
THIS APPLICATION 09/895,303
AND A CIP OF 09/872,438 06/01/2001
AND CLAIMS BENEFIT OF 60/209,068 06/02/2000
THIS APPLICATION 09/895,303

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WHICH CLAIMS BENEFIT OF 60/210,612 06/09/2000
THIS APPLICATION 09/895,303
IS A CIP OF 09/895,848 06/29/2001
WHICH CLAIMS BENEFIT OF 60/215,739 06/29/2000
AND SAID 09/848,791 05/04/2001
AND A CIP OF 09/895,598 60/29/2001
WHICH CLAIMS BENEFIT OF 60/215,170 06/29/2000
THIS APPLICATION 09/895,303
IS A CIP OF 09/895,598 06/29/01
WHICH CLAIMS BENEFIT OF 60/215,170 06/29/01
AND SAID 09/895,598 06/29/01
IS A CIP OF 09/848,791 05/04/01

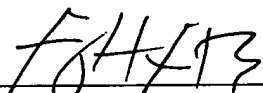
Correction of the records of the U.S. Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: September 25, 2002

By: 
Name: George H. Gates
Reg. No.: 33,500

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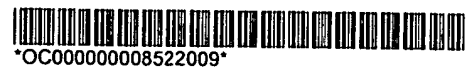
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|---------------------|----------|------------|------------|
| 09/895,303 | 06/29/2001 | 2828 | 1185 | G&C 122.6- US-U1 | 12 | 105 | 3 |

22462
GATES & COOPER LLP
HOWARD HUGHES CENTER
6701 CENTER DRIVE WEST, SUITE 1050
LOS ANGELES, CA 90045

CONFIRMATION NO. 2396
CORRECTED FILING RECEIPT



Date Mailed: 07/26/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Paul F. Crowder, Santa Barbara, CA;
Larry A. Coldren, Santa Barbara, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/215,739 06/29/2000
AND CLAIMS BENEFIT OF 60/215,170 06/29/2000
AND CLAIMS BENEFIT OF 60/215,742 06/29/2000
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THIS APPLICATION 09/895,303
IS A CIP OF 09/872,438 06/01/2001
WHICH CLAIMS BENEFIT OF 60/209,068 06/02/2000
THIS APPLICATION 09/895,303
IS A CIP OF 09/879,821 06/11/2001
WHICH CLAIMS BENEFIT OF 60/210,612 06/09/2000
THIS APPLICATION 09/895,303
IS A CIP OF 09/895,848 06/29/2001
WHICH CLAIMS BENEFIT OF 60/215,739 06/29/2000
AND SAID 09/848,791 05/04/2001
IS A CIP OF 09/895,598 06/29/2001
WHICH CLAIMS BENEFIT OF 60/215,170 06/29/2000

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Foreign Applications

THIS APPLN 09/895,303 6/29/01
IS A CIP OF 09/895,598 6/29/01
WHICH CLAIMS BENEFIT OF 60/215,170 6/29/01
AND SAID 09/895,598 6/29/01
AND IS A CIP OF 09/848,791 5/4/01

If Required, Foreign Filing License Granted 02/15/2002

Projected Publication Date: 09/05/2002

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Gain voltage control of sampled grating distributed bragg reflector lasers

Preliminary Class

372

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**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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10-31-02
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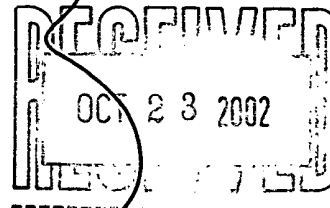
Bib Data Sheet

CONFIRMATION NO. 2396

| | | | | |
|------------------------------------|---|---------------------|-------------------------------|---|
| SERIAL NUMBER 09/895,303 | FILING DATE 06/29/2001 RULE | CLASS 372 | GROUP ART UNIT 2828 | ATTORNEY DOCKET NO. G&C 122.6-US-U1 |
|------------------------------------|---|---------------------|-------------------------------|---|

APPLICANTSPaul F. Crowder, Santa Barbara, CA;
Larry A. Coldren, Santa Barbara, CA;**** CONTINUING DATA *******

This appln claims benefit of 60/215,739 06/29/2000
and claims benefit of 60/215,170 06/29/2000
and claims benefit of 60/215,742 06/29/2000
and is a CIP of 09/848,791 05/04/2001
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is a CIP of 09/872,438 06/01/2001
which claims benefit of 60/209,068 06/02/2000
This application 09/895,303 06/29/2000
is a CIP of 09/879,824 06/11/2001
which claims benefit of 60/210,612 06/09/2000
This application 09/895,303 06/29/2000
is a CIP of 09/895,348 06/29/2001
which claims benefit of 60/215,739 06/29/2000
This application 09/895,303 06/29/2000
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which claims benefit of 60/215,170 06/29/2000
and is a CIP of 09/848,791 05/04/2001

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TECHNOLOGY CENTER 2800**** FOREIGN APPLICATIONS *********IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY ****
** 02/15/2002

| | | | | |
|---|-------------------------------|-----------------------------|----------------------------|--------------------------------|
| Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no | STATE OR COUNTRY CA | SHEETS DRAWING 12 | TOTAL CLAIMS 105 | INDEPENDENT CLAIMS 3 |
| 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance | | | | |
| Verified and Acknowledged Examiner's Signature: [Signature] Initials: [Initials] | | | | |

ADDRESS

22462

TITLE

Gain voltage control of sampled grating distributed bragg reflector lasers

FILING FEE FEES: Authority has been given in Paper

| |
|---|
| <input type="checkbox"/> All Fees |
| <input type="checkbox"/> 1.16 Fees (Filing) |
| <input type="checkbox"/> 1.17 Fees (Processing Ext. of |